



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use in design 10/31/2002. GMD 0037-0001

Substitute for form 1-49A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.</p> <p>Substitute for form 149A/PTO R-1</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 1</p>		<p>Application Number 10/623,999</p> <p>Filing Date 07/21/2003</p> <p>First Named Inventor Joseph J. LiBrizzi et al.</p> <p>Group Art Unit</p> <p>Examiner Name</p> <p>Attorney Docket Number JBP-5009</p>
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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 16

Application Number

10/623,999

Filing Date

07/21/2003

First Named Inventor

Joseph J. LiBrizzi et al.

Group Art Unit

Examiner Name

Attorney Docket Number

JBP-5009

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
JV		4,185,087		Morlino	01/22/1980	
		4,243,657		Okumura, et al.	01/06/1981	
		4,337,166		Hill, et al.	06/29/1982	
		4,364,837		Pader	12/21/1982	
		4,372,869		Lindemann, et al.	02/08/1983	
		4,374,825		Bolich, Jr., et al.	02/22/1983	
		4,387,090		Bolich, Jr.	06/07/1983	
		4,421,769		Dixon, et al.	12/20/1983	
		4,450,152		Ona, 3t al.	05/22/1984	
		4,472,375		Bolich, Jr., et al.	09/18/1984	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	KindCode ⁵				
		AU	654850	B	Eugene J. Van Scott et al.	11/24/1994		
		AU	664987	B	Ruey J. Yu et al.	12/14/1995		
		AU	728490	B2	The Procter & Gamble Company	01/11/2001		
		AU	47580/93	A1	Helene Curtis, Inc.	03/31/1994		
		AU	607174	B	Charles of the Ritz Group Ltd.	02/28/1991		
		AU	668546	B	Unilever PLC	05/09/1996		
		AU	701232	B2	Ruey J. Yu et al.	01/21/1999		

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Date Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5009

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		Number	Kind Code ² (if known)			
JV		4,479,893		Hirota, et al.	10/30/1984	
		4,486,333		Sebba	12/04/1984	
		4,529,586		DeMarco, et al.	07/06/1985	
		4,559,227		Chandra, et al.	12/17/1985	
		4,563,347		Starch	01/07/1986	
		4,567,038		01/28/1986	Ciaudelli, et al.	
		4,568,667		Shirakawa, et al.	02/04/1986	
		4,597,962		Grollier, et al.	07/01/1986	
		4,597,964		Ziemelis, et al.	07/01/1986	
		4,654,161		Kollmeier, et al.	4,654,161	
		4,673,568		Grollier, et al.	06/16/1987	

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		Office ³	Number ⁴	KindCode ⁵				
		AU	701962	B2	Ruey J. Yu et al.	02/11/1999		
		AU	705326	B2	Amway Corporation	05/20/1999		
		CA	1,261,276	A1	The Procter & Gamble Company	09/26/1989		
		CA	1,263,938	A1	The Procter & Gamble Company	12/19/1989		
		CA	1,270,441	A1	Revlon, Inc.	06/19/1990		
		CA	2,009,947	C	Unilever PLC	10/10/1995		
		CA	2,017,672	C	Unilever PLC	12/20/1994		
↓		CA	2,019,352	A1	Colgate-Palmolive Company	12/21/1990		

Examiner Signature	/Tyotsha Venkat/	Date Considered	12/14/2006
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		Number	Kind Code ² (if known)			
JV		4,704,272		Oh, et al.	11/03/1987	
		4,710,314		Madrange, et al.	12/01/1987	
		4,728,457		Fieler, et al.	03/01/1988	
		4,741,855		Grote, et al.	05/03/1988	
		4,749,565		Grollier	06/07/1988	
		4,749,732		Kohl, et al.	06/07/1988	
		4,777,037		Wagman, et al.	10/11/1988	
		4,788,006		Bolich, Jr., et al.	11/29/1988	
		4,818,523		Clarke, et al.	04/04/1989	
		4,839,167		Yammamoto, et al.	06/13/1989	
		4,842,850		Vu	06/27/1989	
		4,847,071		Bissett et al.	07/11/1989	

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		Office ³	Number ⁴	KindCode ⁵				
		CA	2,025,089	C	Unilever PLC	08/01/1995		
		CA	2,031,382	C	Unilever PLC	09/19/1995		
		CA	2,071,801	A1	L'Oreal	12/22/1992		
		CA	2,075,117	C	Unilever PLC	07/08/1997		
		CA	2,075,767	C	Unilever PLC	07/27/1999		
		CA	2,092,779	A1	Helene Curtis, Inc.	09/28/1993		
↓		CA	2,097,838	C	The Procter & Gamble Company	08/18/1998		

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
JV		4,902,499		Bolish, Jr., et al.	02/20/1990	
		4,906,459		Cobb, et al.	03/06/1990	
		4,910,013		Kanamaru, et al.	03/20/1990	
		4,915,938		Zawadzki	04/10/1990	
		4,933,176		Van Reeth	06/12/1990	
		4,954,335		Janchipraponvej	09/04/1990	
		4,973,476		Krzysik	11/27/1990	
		4,976,956		Noe	12/11/1990	
		4,983,383		Maksimonski, et al.	01/08/1991	
		4,997,641		Hartnett, et al.	03/05/1991	
		5,034,218		Duvel	07/23/1991	
		5,049,377		Lamb, et al.	09/17/1991	

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Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		CA	2,098,391	C	Gillette Company	09/30/1997		
		CA	2,145,474	A1	Unilever PLC	10/01/1995		
		CA	2,161,762	A1	Helene Curtis, Inc.	06/07/1996		
		CA	2,163,854	A1	Helene Curtis, Inc.	06/07/1996		
		CA	2,164,814	C	Procter & Gamble Company	08/10/1999		
		CA	2,180,942	A1	Unilever PLC	08/24/1995		
V		CA	2,204,540	A1	L'Oreal	11/06/1997		

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Sheet 5 of 16

Application Number 10/623,999

Filing Date 07/21/2003

First Named Inventor Joseph J. LiBrizzi et al.

Group Art Unit

Examiner Name

Attorney Docket Number JBP-5009

U.S. PATENT DOCUMENTS

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		Number	Kind Code ²			
JV		5,063,044		Kohl, et al.	11/05/1991	
		5,063,052		Grollier, et al.	11/05/1991	
		5,077,041		Yamashina, et al.	12/31/1991	
		5,078,990		Martin, et al.	01/07/1992	
		5,085,857		Reid, et al.	02/04/1992	
		5,093,109		Mausner	03/03/1992	
		5,100,657		Ansher-Jackson, et al.	03/31/1992	
		5,106,609		Bolich, Jr., et al.	04/21/1992	
		5,106,613		Hartnett, et al.	04/21/1992	
		5,114,706		Duvel	05/19/1992	
		5,120,531		Wells, et al.	06/09/1992	
		5,151,210		Steuri, et al.	09/29/1992	

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		Office ³	Number ⁴	KindCode ⁵				
		CA	2,218,683	A1	The Procter & Gamble Company	10/24/1996		
		CA	2,222,059	A1	Unilever PLC	12/27/1996		
		CA	2,234,851	A1	The Procter & Gamble Company	04/24/1997		
		CA	2,250,384	A1	The Procter & Gamble Company	10/02/1997		
		CA	2,250,385	A1	The Procter & Gamble Company	10/02/1997		
		CA	2,250,389	A1	The Procter & Gamble Company	10/02/1997		
		CA	2,257,188	A1	L'Oreal	12/11/1997		

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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		Number	Kind Code ² (if known)			
JV		5,152,914		Forster, et al.	10/06/1992	
		5,160,449		Halloran	11/03/1992	
		5,160,733		Berthiaume, et al.	11/03/1992	
		5,169,623		Kopolow, et al.	12/08/1992	
		5,180,584		Sebag, et al.	01/19/1993	
		5,196,187		Nicoll, et al.	03/23/1993	
		5,198,209		Zhou, et al.	03/30/1993	
		5,213,716		Patel, et al.	05/25/1993	
		5,217,652		Iovanni	06/08/1993	
		5,240,695		Dubief, et al.	08/31/1993	
		5,246,694		Birthwistle	09/21/1993	
		5,248,445		Rizvi, et al.	09/28/1993	

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		CA	2,261,755	A1	Unilever PLC	02/12/1998		
		CA	2,265,652	A1	Unilever PLC	04/02/1998		
		CA	2,268,907	A1	The Procter & Gamble Company	04/23/1998		
		CA	2,269,762	A1	The Procter & Gamble Company	05/07/1998		
		CA	2,271,078	A1	The Procter & Gamble Company	05/14/1998		
		CA	2,274,849	A1	The Procter & Gamble Company	07/09/1998		
V		CA	2,283,812	A1	Unilever PLC	10/29/1998		

Examiner Signature

/Jyothsna Venkat/

Date Considered

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Sheet 7 of 16

Application Number

10/623,999

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JV		5,252,324		Bires, et al.	10/12/1993	
		5,260,055		Imperante, et al.	11/09/1993	
		5,262,325		Zimmermann, et al.	11/16/1993	
		5,275,761		Bergmann	01/04/1994	
		5,290,545		Halloran, et al.	03/01/1994	
		5,306,434		Schueler, et al.	04/26/1994	
		5,308,551		Beauquey, et al.	05/03/1994	
		5,328,685		Janchitraponvej, et al.	07/12/1994	
		5,332,569		Wood, et al.	07/26/1994	
		5,334,376		Robbins, et al.	08/02/1994	
		5,346,642		Patel, et al.	09/13/1994	
		5,352,437		Nakagawa et al.	10/04/1994	

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		CA	2,284,595	A1	Unilever PLC	10/08/1998		
		CA	2,300,143	A1	Akzo Nobel N.V.	02/25/1999		
		CN	1062837	A	The Procter & Gamble Company	07/22/1992	US 5,573,709 - (US equivalent attached)	
		EP	0680743	A	L'Oreal	11/08/1995		
		EP	0914814	A1	Shiseido Company Limited	05/12/1999		
		EP	0918069	A1	Shiseido Company Limited	05/26/1999		
V		EP	0947190	A2	Shin-Etsu Chemical Co., Ltd.	10/06/1999		

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Sheet 8 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5009

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
JV		5,358,667		Bergmann	10/25/1994	
		5,362,484		Wood, et al.	11/08/1994	
		5,362,485		Hayama, et al.	11/08/1994	
		5,374,421		Tashiro, et al.	12/20/1994	
		5,389,364		Cifuentes, et al.	02/14/1995	
		5,393,452		Raleigh, et al.	02/28/1995	
		5,409,628		Heinz, et al.	04/25/1995	
		5,409,695		Abrutyn, et al.	04/25/1995	
		5,417,965		Janchitraponvej	05/23/1995	
		5,437,809		Chaudhuri	08/01/1995	
		5,439,673		Murray	08/08/1995	
		5,439,677		Villamarín	08/08/1995	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶				
		Office ³	Number ⁴	KindCode ⁵								
		EP	0947190	A3	Shin-Etsu Chemical Co., Ltd.	05/10/2000						
		EP	0951898	A1	Johnson & Johnson Consumer	10/27/1999						
		EP	0958804	A2	Shin-Etsu Chemical Co., Ltd.	11/24/1999						
		PH	31167		Calgon Corp	03/20/1998	US 5,609,862 -(U.S. equivalent attached)					
		WO	94/29415	A1	The Procter & Gamble Company	12/22/1994						
		WO	97/03647	A1	The Procter & Gamble Company	02/06/1997						
V		WO	97/26860	A1	Johnson & Johnson Consumer	07/31/1997						

Examiner Signature		Date Considered	12/14/2006
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Sheet 9 of 16

U.S. PATENT DOCUMENTS

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		Number	Kind Code ⁴ (if known)			
TV		5,439,954		Bush	08/08/1995	
		5,441,667		Tonomura, et al.	08/15/1995	
		5,482,703		Pings	01/09/1996	
		5,536,332		Chun	07/16/1996	
		5,536,493		Dubief	07/16/1996	
		5,554,313		Chandler	09/10/1996	
		5,556,615		Janchitraponvej	09/17/1996	
		5,556,616		Janchitraponvej, et al.	09/17/1996	
		5,565,194		Burkhart, et al.	10/15/1996	
		5,573,709		Wells	11/12/1996	
		5,585,094		Villamarin	12/17/1996	
		5,609,862		Shih-Ruey et al.	03/11/1997	
		5,618,521		De Rigal et al.	04/08/1997	
		5,618,524		Bolich, Jr. et al.	04/08/1997	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵				
		WO	97/32559	A1	Disperse Technologies Limited	09/12/1997		
		WO	97/38673	A1	Stepan Company	10/23/1997		
		WO	97/41827	A1	L'Oreal	11/13/1997	Abstract Only	
		WO	97/42935	A1	Henkel	11/20/1997	Abstract Only	
		WO	97/46210	A1	L'Oreal	12/11/1997	Abstract Only	
		WO	97/46211	A1	L'Oreal	12/11/1997	Abstract Only	
		WO	97/46212	A1	L'Oreal	12/11/1997	Abstract Only	

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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Sheet 10 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JPB-5009

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
JV		5,635,469		Fowler, et al.	06/03/1997	
		5,650,383		Dubief, et al.	07/22/1997	
		5,658,557		Bolich, Jr. et al.	08/19/1997	
		5,665,337		Carballada, et al.	09/09/1997	
		5,667,771		Carballada, et al.	09/16/1997	
		5,683,685		Hirano, et al.	11/04/1997	
		5,710,113		@3lls	01/20/1998	
		5,720,964		Murray	02/24/1998	
		5,723,111		Glover, et al.	03/03/1998	
		5,733,532		Ranpanti, et al.	03/31/1998	
		5,753,216		Leitch, et al.	05/19/1998	
		5,756,076		Cervantes, et al.	05/26/1998	
		5,756,080		Janchitraponvej, et al.	05/26/1998	
		5,759,527		Patel, et al.	06/02/1998	
		5,776,443		Vinski, et al.	07/07/1998	

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		Office ³	Number ⁴	KindCode ⁵				
		WO	98/03155	A1	L'Oreal	01/29/1998	Abstract Only	
		WO	98/16189	A1	The Procter & Gamble Company	04/23/1998		
		WO	98/18434	A1	The Procter & Gamble Company	05/07/1998		
		WO	98/19654	A1	The Procter & Gamble Company	05/14/1998		
		WO	98/19655	A1	The Procter & Gamble Company	05/14/1998		
		WO	98/19656	A1	The Procter & Gamble Company	05/14/1998		
V		WO	98/20833	A2	L'Oreal	05/22/1998	Abstract Only	

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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		Number	Kind Code ⁴			
JV		5,776,472		Simon, et al.	07/07/1998	
		5,804,203		Hahn et al.	09/08/1997	
		5,833,999		Trinh, et al.	11/10/1998	
		5,840,280		Faryniarz, et al.	11/24/1998	
		5,846,549		Beauquey, et al.	12/08/1998	
		5,849,310		Trink, et al.	12/15/1998	
		5,853,706		Klar	12/29/1998	
		5,854,293		Glenn, Jr.	12/29/1998	
		5,876,705		Hirotaka Uchiyama et al.	03/02/1999	
		5,879,671		Halloran, et al.	03/09/1999	
		5,888,488		Fukuchi	03/30/1999	
		5,910,302		Halloran, et al.	06/08/1999	

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Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		WO	98/20833	A3	L'Oreal	05/22/1998	Abstract Only	
		WO	98/20845	A1	Henkel	05/22/1998	Abstract Only	
		WO	98/20855	A1	The Gillette Company	05/22/1998		
		WO	98/24408	A1	Rodia Chimie	06/11/1998	Abstract Only	
		WO	98/31334	A1	L'Oreal	07/23/1998	Abstract Only	
		WO	99/09939	A1	L'Oreal	03/04/1999	Abstract Only	
		WO	99/13823	A2	The Procter & Gamble Company	03/25/1999		
		WO	99/13823	A3	THE Procter & Gamble Company	03/25/1999		

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Sheet 13 of 16

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
JV		5,976,517		Dubief, et al.	11/02/1999	
		5,977,038		Birtwistle, et al.	11/02/1999	
		5,980,876		Peffly	11/09/1999	
		5,985,294		Peffly	11/16/1999	
		5,985,297		Mellul, et al.	11/16/1999	
		5,989,532		Haning, et al.	11/23/1999	
		5,990,059		Finel, et al.	11/23/1999	
		5,997,853		Bolich, Jr., et al.	12/07/1999	
		5,997,886		Peffly, et al.	12/07/1999	
		6,001,339		Finel, et al.	12/14/1999	
		6,004,540		Richard, et al.	12/21/1999	
		6,007,800		Dubief, et al.	12/28/1999	

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		Office ³	Number ⁴	KindCode ⁵				
		WO	99/17719	A1	Unilever PLC	04/15/1999		
		WO	99/22708	A1	The Procter & Gamble Company	05/14/1999		
		WO	99/24004	A1	The Procter & Gamble Company	05/20/1999		
		WO	99/27903	A1	Parfums Christian Dior	06/10/1999	Abstract Only	
		WO	99/29286	A1	Unilever	06/17/1999		
		WO	99/29287	A1	Henkel	06/17/1999	Abstract Only	
V		WO	99/29291	A1	L'Oreal	06/17/1999	Abstract Only	

Examiner Signature

/Jyothsna Venkat/

Date Considered

12/14/2006

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Sheet 14 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5009

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		Office ³	Number ⁴	KindCode ⁵				
JV		WO	99/32079	A1	Unilever	07/01/1999		
		WO	99/32539	A1	The Procter & Gamble Company	07/01/1999		
		WO	99/34768	A2	Unilever	07/15/1999		
		WO	99/34768	A3	Unilever	07/15/1999		
		WO	99/34770	A1	L'Oreal	07/15/1999		
		WO	99/38476	A1	The Procter & Gamble Company	08/05/1999		
V		WO	99/38478	A1	ISP Investments Inc.	08/05/1999		

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Sheet 15 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5009

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		Office ³	Number ⁴	KindCode ⁵			
JV		WO	99/43289	A1	Sanyo Chemical Industries, Ltd.	09/02/1999	Abstract Only
		WO	99/44565	A1	Unilever	09/10/1999	
		WO	99/44567	A1	Unilever	09/10/1999	
		WO	99/49836	A1	Unilever	10/07/1999	
		WO	99/53889	A1	Unilever	10/28/1999	
		WO	99/55294	A1	The Procter & Gamble Company	11/04/1999	
		WO	99/62466	A1	The Procter & Gamble Company	12/09/1999	
V		WO	99/66888		The Procter & Gamble Company	12/29/1999	

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 16 of 16

Application Number	10/623,999
Filing Date	07/21/2003
First Named Inventor	Joseph J. LiBrizzi
Group Art Unit	
Examiner Name	
Attorney Docket Number	JBP-5009

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
JV	JV	Information About Dow Corning® 2-5324 Fluid, 1997, 4 pages	
		Information About Dow Corning® 190 and 193 Surfactants, 1997, 2 pages	

Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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7/29/04

SUBMISSION UNDER MPEP 609 D

Page 1 of 1

SUBMISSION UNDER MPEP 609 D	<i>Application Number</i>	10/623,999
	<i>Filing Date</i>	07/21/2003
	<i>First Named Inventor</i>	Joseph J. LiBrizzi et al.
	<i>Group Art Unit</i>	
	<i>Examiner Name</i>	
Page 1 of 1	<i>Attorney Docket Number</i>	JBP-5009

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Examiner Signature	/Jyothsna Venkat/	Date Considered	12/14/2006
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